TTORNEYS DOCKET NO. ENT OF COMMERCE PATENT AND TRADEMARK OFFI FORM PTO-1390 U.S. DE (REV 10-95) 3688ME-34 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 35 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 24 July 2000 19 July 2001 PCT/JP01/06312 TITLE OF INVENTION "OBJECTIVE LENS DRIVING APPARATUS AND MANUFACTURING METHOD THEREOF" APPLICANT(S) FOR DO/EO/US FUJIKAWA, Yasuo Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 1. IXI This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. This express request to begin national examination procedures (35 U.S.C. 371(f))) at any time rather than delay examination until 3. the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l). A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 4. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. [X] is transmitted herewith (required only if not transmitted by the International Bureau). has been transmitted by the International Bureau b. [X] is not required, as the application was filed in the United States Receiving Office (RO/US). A translation of the International Application into English (35 U.S.C. 371(c)(2)). .[X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). ·[X] are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. c. have not been made and will not be made. d. N A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <u>m</u>9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. To 16. below concern documents or information included: An Information Disclosure Statement under 37n CFR 1.97 and 1.98. 11. [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.23 and 3.31 is included. 12. [X] A FIRST preliminary amendment. 13. IXI A SECOND or SUBSEQUENT preliminary amendment. 14. A substitute specification. 15. A change of power of attorney and/or address letter. Other items or information: 16. "EXPRESS MAIL" MAILING LABEL NUMBER: EL767781815US DATE OF DEPOSIT: February, 2002 I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH

THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, BOX PCT, WASHINGTON, D.C. 20231.

TYPED OR PRINTED NAME:

JANICE MESSER

SIGNATURE

JC10 Rec'd PCT/PTO 0 8 FEB 2002

	JC10 Rec'd PCT/PTO 0 8 FEB 2002				
U.S. APPLICATION ND. Litknows	()-47 (Pr] (INTER	RNATIONAL APPLICATION NO. PCT/JP01/06312		ATTORNEY DOCK	
17. [X] The following fees	are submitted:			CALCULATIONS	PTO USE ONLY
BASIC NATIONAL FEE (	(37 CFR 1.492(a)(1)-(5)):			1	
Search Report has bee	en prepared by the EPO or JPO	,	\$860.00	ĺ	
	ary examination fee paid to USPTO		\$690.00		
but international search	minary examination fee paid to USP th fee paid to USPTO (37 CFR 1.44:	5(a)(2))	\$710.00		I
international search fee	preliminary examination fee (37 CFF ee (37 CFR 1.445(a)(2)) paid USPTO	0	\$1,000.00		I
	ary examination fee paid to USPTO d provisions of PCT Article 33(2)-(4	4)	\$100.00		<del></del>
	ENTER AI	PPROPRIATE BASIC FEE		\$ 860.00	
months from the earliest clai	rnishing the oath or declaration later imed priority date (37 CFR 1.492(e)	)).		\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	200	
Total Claims	10 - 20 =	0	x \$18.00	\$ 0.00	<u></u>
Independent Claims	2 -3 =	0	x \$80.00 + \$270.00	\$ 0.00	<u> </u>
MULTIPLE DEFEND	DENT CLAIMS(S) (if applicable)	TOTAL OF ABOVE CALC		\$ 860.00	<del> </del>
Applicant claims small	entity status under 37 CFR 1.27.	OTAL OF ILLUSTRA	Junion	\$	
5			SUBTOTAL =	\$ 860.00	
Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 + months from the earliest claimed priority date (37 CFR 1.492(f)).			1	\$	
Į.	TOTAL NATIONAL FEE = \$				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property			•	\$ 40.00	
T.		TOTAL FEES E	NCLOSED =	\$ 900.00	
0 0 0 1	Amount to be: \$ refunded			s	
H. H. H.				charged	\$
a. [X] A check in the	amount of \$ 900.00 to cover	the above fees is enclosed.			
b. [ ] Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1970. A duplicate copy of this sheet is enclosed.				nent to Deposit	
	riate time limit under 37 cfr 1.494 of the application pending status.	or 1.495 has not been met, a	petition to reviv	ve (37 cfr 1.137(a)	or (b)) must be
SEND ALL CORRESPONDENCE TO:					
SHERIDAN ROSS P.C. 1560 Broadway, Suite 1200 Denver, Colorado 80202-5141					
Facsimile: (303) 863-9700  Facsimile: (303) 863-0223  Bradley M. Knepper Registration No. 44,189					

Page 2 of 2

Inventor(s): Yasuo FUJIKAWA

Objective Lens Driving Apparatus and Manufacturing Method thereof Invention:

SPECIFICATION

## INTERNATIONAL APPLICATION TRANSLATION CERTIFICATE

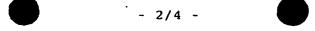
I, the below named verifier, hereby certify that:
(1) My name and post office address are as stated below;
(2) I am knowledgeable in the English language and in the language in which the below identified International Application was filed; and that
(3) I believe the attached is a full, true and faithful translation into the English language of the
[X] Request
[X] Specification
[X] Claim(s)
[X] Abstract
[X] Drawing
[ ] Claims as amended under PCT Article 19
[ ] Annexes to International Preliminary Examination Report
of International Application PCT/JP01/06312, filed 19 July 2001 under the Patent Cooperation Treaty.
I declare further that all statements made herein on personal knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.
Signed this <u>1st</u> day of <u>February</u> , 20 <u>02</u> .
Full name of verifier Satoru OHIRA
Signature of verifier 大果寛
Post Office Address 22-8 Higashikorimoto-machi, Hirakata-shi,
Osaka 573-0076 Japan



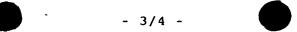
## Original (for SUEMISSION) - printed on 18.07.2001 15:21:32

0	E	<del></del>	
	For receiving Office use only	•	
0-1	International Application No.		
0-2	International Filing Date		
0-3	Name of receiving Office and "PCT		
	International Application"		
0-4	Form – PCT/RO/101 PCT		
0-4	Request		
0-4-1	Prepared using	PCT-EASY Version 2.91 (updated 01.01.2001)	
0-5	Petition		
	The undersigned requests that		
	the present international		
	application be processed		
	according to the Patent		
	Cooperation Treaty		
0-6	Receiving Office (specified by the		
	applicant)	Japanese Patent Office (RO/JP)	
0-7	Applicant's or agent's file		
	reference	P25894-P0	
I	Title of invention	Objective Lens Driving Apparatus and Manufacturing Method	
		thereof	
II	Applicant		
II-1	This person is:	applicant only	
II-2	Applicant for	all designated States except US	
II-4	Name	MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.	
∏-5	Address:	1006, Oaza-Kadoma,	
		Kadoma-shi, Osaka 571-8501	
		Japan	
П-6	State of nationality	JP .	
II-7	State of residence	JP	
II-8	Telephone No.	03-3434-9471	
II-9	Facsimile No.	03-3431-0856	
III-1	Applicant and/or inventor		
III-1-1	This person is:	applicant and inventor	
III-1-2	Applicant for	US only	
<b>Ⅲ-1-4</b>	Name (LAST, First)	FUJIKAWA, Yasuo	
III-1-5	Address:	5-13, Joto-cho 1-chome,	
		Tokushima-shi, Tokushima 770-0862	
		Japan	
III-1-6	State of nationality	JP	
Ш-1-7	State of residence	JP	

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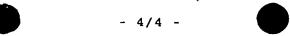
TV-1	Agent or common representative;	
	or address for correspondence	
	The person identified below is	
	hereby/has been appointed to act	
	on behalf of the applicant(s) before	acont
	the competent International	agent
	Authorities as:	
T37 1 1		THO A CHIDA A COLL
IV-1-1	Name (LAST, First)	HIGASHIMA, Takaharu
IV-1-2	Address:	HIGASHIMA PATENT OFFICE
		Daiko Building, 2-14, Umeda 3-chome, Kita-ku,
		Osaka-shi, Osaka 530-0001
<b>77</b> 7 4 0	m, , , , , , , , , , , , , , , , , , ,	Japan
IV-1-3	Telephone No.	06-6348-1151
IV-1-4	Facsimile No.	06-6348-1170
IV-1-5	e-mail_	HX5T-HGSM@asahi-net.or.jp
V	Designation of States	
V-1	Regional Patent	<b></b>
	(other kinds of protection or	
	treatment, if any, are specified	
	between parentheses after the	
<del></del>	designation(s) concerned)	
V-2	National Patent	CN JP KR US
	(other kinds of protection or	
	treatment, if any, are specified	•
	between parentheses after the	
	designation(s) concerned)	
V-5	Precautionary Designation	
•	Statement	
	In addition to the designations	
	made under items V-1, V-2 and	
	V-3, the applicant also makes	•
	under Rule 4.9(b) all designations	
	which would be permitted under	•
	the PCT except any	
	designation(s) of the State(s)	
	indicated under item V-6 below.	
	The applicant declares that those	
	additional designations are	
	subject to confirmation and that	
	any designation which is not	
	confirmed before the expiration of	
	15 months from the priority date	•
	is to be regarded as withdrawn	
	by the applicant at the expiration	•
	of that time limit.	
V-6	Exclusion(s) from precautionary	
, -u	designations	NONE
	i designations	TOME

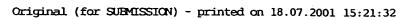


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VI-1	Priority claim of earlier national		
	application		
VI-1-1	Filing date	24 July 2000 (24. 07. 2000)	
VI-1-2	Number	Patent Application 2000-222321	
VI-1-3	Country	JP	
VI-2	Priority document request		
	The receiving Office is requested to	VI-1	
	prepare and transmit to the		
	International Bureau a certified		
	copy of the earlier application(s)		
	identified above as item(s):		
VII-1	International Searching Authority		
	Chosen	Japanese Patent Office (ISA/J)	
VIII	Check list	number of sheets	electronic file(s) attached
VIII-1	Request	3	
VIII-2	Description	38	-
VIII-3	Claims	4	-
VIII-4	Abstract	1	p25894-p0. txt
VIII-5	Drawings	14	-
VIII-7	TOTAL	60	
	Accompanying items	paper document(s) attached	electronic file(s) attached
VIII-8	Fee calculation sheet	V	
VIII-9	Separate signed power of		-
	attorney	u	
VIII-16	PCT-EASY diskette	-	diskette
	Other(Specified):	Revenue stamps of	-
VIII-17		transmittal fee	
		for receiving	
		office	1
*****	Other(Specified):	Submission of	_
VIII-17		certificate of	
		payment for	
		international fee	
VIII-18	Figure of the drawings which	4	
	should accompany the		
	abstract		·
VIII-19	Language of filing of the		
	international application	Japanese	
IX-1	Signature of applicant or		
	agent		
			Seal
IX-1-1	Name (LAST, First)	HIGASHIMA, Takaharu	

## FOR RECEIVING OFFICE USE ONLY





10-1	Date of actual receipt of the purported international application	
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/JP
10-6	Transmittal of search copy delayed until search fee is paid	·
	FOR INTE	ERNATIONAL BUREAU USE ONLY
11-1	Date of receipt of the record copy by the International	